

This publication is available for download at the Defense Equal Opportunity Management Institute (DEOMI) website under the Harassment Prevention area at www.defenseculture.mil.

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DEFENSE EQUAL OPPORTUNITY MANAGEMENT INSTITUTE RESEARCH AND DEVELOPMENTDIRECTORATE 366 Tuskegee Airmen Drive Patrick AFB, FL 32925

Internet Site

The Defense Equal Opportunity Management Institute (DEOMI) website, located at www.defenseculture.mil, provides a variety of tools and information to support leaders, organizations and the climate assessment process.

Cover

DEOMI's graphic artist, Mr. Patrick Phillips, created the cover.

This Guide

This guide assists leaders in identifying types of harassment, recognize harassing behaviors, and to provide ideas and strategies to implement in organizations. It is not intended to be all-inclusive, and methods and strategies discussed within it may be tailored based on unit needs and desires. Suggested improvements and additions to this guide should be submitted to the DEOMI Research and Development Directorate, 366 Tuskegee Airmen Drive, Patrick Air Force Base, Florida 32925.

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Disclaimer

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Overview

Harassment is a problem thatcan occur in any environment and, for all you know, it could be happening in your workplace. Recognizing harassment—and being effective in stoppingit—is crucial to maintaining a healthy organizational climate. Furthermore, providing all members with effective education and reinforcement training helps ensure everyone is afforded the opportunity to work in a professional, harassment-free environment.

This guide's purpose is to help leaders, supervisors, and managers identify instances where their workforce members could be experiencing harassment.

Throughout this guide, written comments(displayed on red/green images) from recent anonymous surveys received across the DoD as examples are provided to raise your awareness and to demonstrate that harassment is real and still occurs daily. Names of individual members and organizations involved were removed.

Harassment

Perthe DoD Instruction 1020.03, harassment is behavior that is unwelcome or offensive to a <u>reasonable person</u>, whether oral, written, or physical, that creates an intimidating, hostile, or offensive environmentⁱ.

- Harassment can occur through electronic communications including social media, other forms of communication, and in-person.
- Harassment may include offensive jokes, epithets, ridicule or mockery, insults or putdowns, displays of offensive objects or imagery, stereotyping, intimidating acts, veiled threats of violence, threatening or provoking remarks, racial or other slurs, derogatory remarks about a person's accent, or displays of racially offensive symbols.
- Activities or actions undertaken for a proper military or governmental purpose, such as combat survival training, are not considered harassment.



Reasonable Person Standard

The "reasonable person test" is used to determine whether abehavior meets the legal standard for unlawful discrimination or sexual harassment. The test requires the hypothetical exposure of a reasonable person (third party) to the same set of facts and circumstances; if, to that third party, the behavior is offensive, then the objective test is met. It is important to note that the reasonable

person standard considers *the complainant's* perspective, and does not rely on stereotyped notions of acceptable behavior within that particular work environment.ⁱⁱ

For example:

1. You are out walking and you come across this sign blocking a bridge. You decide to push it out of your way and keep going.



Suddenly you fall off the bridge and twist your ankle. Whose fault is it? A reasonable person (third party) could say:

- There is a sign that tells you not to enter; it is your own fault that you fell and incurred injuries.
- 2. Several months ago, a member of your organization spoke to her Equal Opportunity (EO) professional because she felt her supervisor was retaliating against herfor an earlier complaint she made to the EO.She discusses her concerns with you as to whather supervisor was doing to her, such as excluding her from meetings she should be attending, bringing in donuts for everyone except her, and offering training opportunities for everyone except her.

A reasonable person (third party) could say:

• Because there are multiple negative actions taken against one particular person(after that person discussed their situation to the EO about their workplace conditions) a reasonable person could determine they are being retaliated against.

So what should you do?

Consider your decisions about disciplining an individual for their professional misbehavior(s):

- Am I being too hard or too easy? Would a reasonable person say "You're going too far?" or "If you don't hold them accountable today, they will do it again next week?"
- Does the punishment fit the "crime?"Consider the impact of your corrective action. Will it help the individual learn from their mistake? Corrective or reinforcement training is a strategy that can effectively reinforce positive behaviors. Would a reasonable person say, "That type of punishment doesn't make sense?"

Consult with your peers/mentor

- Discuss your options with a peer/mentor before implementing them
- Ask if they have ever experienced a similar situation, what they did, and what were the results from the situation

Discriminatory Harassment

Discriminatory harassment is unwelcome or offensive behaviors based on race, color, national origin, religion, sex (including gender identity), or sexual orientation.

What you should look for. When observing a group, watch how they treat one another. These observed interactions could indicate a potential problem:

- Antilocutiontype behaviors: Individually targeted "jokes." Jokesthat appear to targetcertain members. Jokes that some may consider harmless can, in fact, have a significantly detrimental effect upon an individual, though it may not be obvious.
- Avoidance behaviors: Members appearing to avoid other members. Imagine a group of several people on the sidewalk standing around talking, and you observe someone crossing the street and then back againas a way to avoid being near the group.
- Excluding behaviors: At lunch, you observe several people sitting together and not inviting others who are not in their clique. Another form of exclusion is polarization,

where individuals/groups exclude others who do not look like them (e.g., based on sex, race, ethnicity, etc.).

- Arguments: Verbal and physical altercations targeting specific members, especially those considered outsiders
- Complaints: Anonymous, informal, or formal complaints of harassment in the workplace

So what should you dowhen you see commentslike these?

• When you receivepositive comments on a climate survey, but want to understandwhat you are doing right in the eyes of your subordinates so that you can continue these positive practices, ask them what makes them feel this way?

I can honestly say that I feel safe from sexual assault and harassment in my unit. I am also happy to say that if any such issues were to arise, I am confident that my unit will handle it in a professional manner and in the best interest of the victim. e inside the organization and I they are allowed to get away with

When comments suggest the

workplace suffers from harassing behaviors, be visible and vocal about professionalism. This can help discourage behaviors such as inappropriate jokes, images, or behaviors, reducing probability of future discriminating behaviors.

- Know you are not alone. Others have received positive and negativecomments also. The question is what are you going to do with the information you just received?
 - Use the tools (e.g. policies, regulations/instructions) and resources(e.g. time, people) available to you.
 - Conduct your own research on how best to resolve inappropriate situations.
 - Include others (seniors, peers, and subordinates)

Here are a fewadditional strategies to consider:

- Role reversal: Question the wrongdoer. <u>Ask</u>members of your organization if the inappropriate behavior was happening to them, how would they feel?
- Imagining: What if someone did this to your mother, father, sibling, or spouse, what would you do? What if this behavior was happening and you were notthere to protect them?
- Conduct a follow-up: After your decision (whether administrative, UCMJ, other corrective action, or no action), at around the 30-45 day mark, physically and individually talk to all members involved. Discuss their current situation. Ask about how they feel they are currently being treated and any additional concerns they may have.

Sexual Harassment

Sexual Harassment involves unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual natureⁱⁱⁱ.

What you should look for:

- As potential offenders: look for those who target same sex or opposite sex membersengaging in "horse playing" inappropriate comments, joking around, and/or touching. Those individuals may be testing boundaries to see how others will react and what they can get away with.
- As potential victims: look for individuals who are "closed off" or loners. Pay attention tochanges in behaviors when certain members come around. Watch for high absenteeism, sick call rates, tardiness, or non-work related reasons to be away from the office.



As a direct supervisor, it's very difficult to encourage my subordinates to report sexual assault/ harassment or any sort of problems, because based on my personal experience, this organization does not put integrity first, and do the right thing even if it isn't the path of least resistance. If something seems difficult, leadership will shy away from it and justify their decision any way they can, including to blame the victim.

I have not personally witnessed or heard of any sexual harassment within this command, but that doesn't mean it isn't occurring.

So what

should you do when you see comments like these?

Begin by researching policy statements from different organizations and developing yourown written strategy to stop any current sexual harassment and prevent future sexually harassing behaviors. Since leaders of every DoD organization are required to create and maintain an anti-sexual harassment policy letter, read several from different organizations.

- 1. Identify information from the best written policies.
- 2. Read regulations, policies, and instructions; determine what is required in your policy.
- 3. Write a draft policy letter.
- 4. Consult with your legal staff to ensure the policy is legally sufficient.
- 5. Make modifications as needed, then post your policy letter in high-visibility areas.
- 6. Share your policy with the workforce and stand behind it; hold offenders appropriately accountable.

Once your policy letters posted, share it with everyone by talking about sexual harassment, and encourage others to talk about it too. If a member of your workforce appears to be sexually harassed, comply with your agency's investigative requirements, and hold all confirmed offenders appropriately accounted for their actions.

Here are a few additional strategies to consider:

Education: Educating members regarding the facts, including the legal definitions of sexual harassment, avenues for lodging a complaint, and institutional protections against reprisal. For example, the EEOC website can provide you with historical cases of inappropriate behavior complaints, including sexual harassment.

Training:Effectively training members includes training them at the appropriate level. Depending on the target audience, consider different training approaches, training session length, and develop a strategy where successive training builds on previous training sessions.

Awareness: Awareness in this instance involves combining education and training, and encouraging others to remain vigilant to recognize inappropriate behaviors when they occur. Furthermore, raising others' awareness works best when leadersadvocatedoing the right thing by encouraging peers to be observant, recognize inappropriate behaviors, and confront offending individuals or report the inappropriate behaviors.

Bullying

Bullying is a form of harassment that includes acts of aggression by Service members or DoD civilian employees, with a nexus to military service, with the intent of harming a Service member either physically or psychologically, without a proper military or other governmental purpose.

- Bullying may involve the singling out of an individual from his or her coworkers, or unit, for ridicule because he or she is considered different or weak.
- It often involves an imbalance of power between the aggressor and the victim.
- Bullying can occur through electronic communications including social media, other forms of communication, and in-person.

There are one or two individuals who are bigoted, misogynistic, foul-mouthed dinosaurs that are firmly entrenched in "the way it was." It makes the office hard, because no one wants to speak up. It's just easier to say, "well, that's just the way they are, they don't mean anything by it.

The _____ has one of the healthiest teamwork cultures that I have ever experienced in my term of service. While every organization has its problems, this rotation's leadership has made it a priority to do what it can to take care of people and get the mission done.

The leadership are a bunch of bullies. For example a company leadership told a guy. That if you want to die go do it. No one is gonna miss you. This is the stuff that they tell their guys. Especially _____ I witness him telling a guy he's a worthless piece of shit and that his family is better off without him. But if I can't leave this organization I might actually do what I said I will do and end it.

you do

when you

I think the ____ and the whole staff is very professional, I cannot imagine rude behavior, bullying or sexual harassment from any member of the staff. I think if someone came into the organization with these attitudes they (the bully) would be shunned by the rest of the organization.

see comments like these?

- Stop what you are doing behind your desk, and spend time with your subordinates; try to do this frequently and without a direct task/purpose (not to inspect/evaluate).
- Look at what is going on. Who is doing most of the work? Is someone yelling at his or her subordinates/peers? The non-verbal signals like the overall workplace mood or individualswho appear isolated and emotionally withdrawn can also tell a lot.
- Listen to what your members are saying in climatesurveys and during one-on-one conversations.

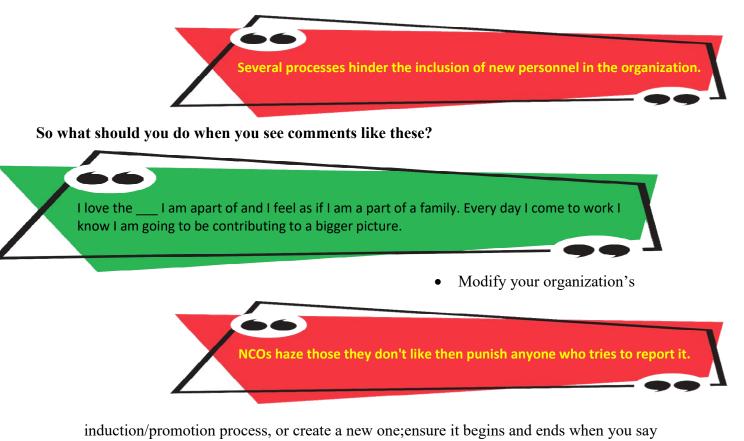
Here are a few additional strategies to consider:

- When possible, rotate junior leaders to balance roles and responsibilities.
- Express your intolerance for bullying behaviors and other harassing behaviors and your readiness to hold offenders accountable.

Hazing

Hazing is a form of harassment that includes conduct through which Service members or DoD employees, without a proper military or other governmental purpose but with a nexus to military service, physically or psychologically injures or creates a risk of physical or psychological injury to Service members for the purpose of: initiation into, admission into, affiliation with, change in status or position within, or a condition for continued membership in any military or DoD civilian organization.

• Hazing can occur through electronic communications including social media, other forms of communication, and in-person.





so.

• When members of the organization are promoted, be there. Moreover, if any inappropriate behavior occurs during these rites of passage, intervene immediately.

Here are a few additional strategies to consider:

- Mandate mentorship programs beginning immediately after a new member arrives.
- Direct one-on-one introductions with all new members, and conduct a 30-45 day followup to evaluate the newcomer's induction process, in an effort to continuously improve it for subsequent newcomers.
- Provide "welcome packets" for all new members with important information (e.g., names, phone numbers, maps for support).

Retaliation

Retaliation encompasses illegal, impermissible, or hostile actions taken by a Service member's chain of command, peers, or coworkers as a result of making or being suspected of making a protected communication.Retaliation for reporting a criminal offense can occur in several ways, including reprisal.

Reprisal (as a form of Retaliation)

Reprisal is taking or threatening to take an unfavorable personnel action, or withholding or threatening to withhold a favorable personnel action, for making, preparing to make, or being perceived as making or preparing to make a <u>protected communication</u>.



Protected communications include: a communication that a military member reasonably believes

We are belittled and talked down to and this treatment on a daily basis has made coming to work and keeping our heads hung high a challenge. I am hesitant to offer this feedback for fear of retaliation in some form

evidences a violation of law or regulation, including a law or regulation prohibiting rape, sexual assault,

The fear of retaliation is real and does happen even though it should not

or other sexual misconduct in violation of articles 120 through 120c of the Uniform Code of Military Justice, sexual harassment or unlawful discrimination, gross mismanagement, a gross waste of funds or other resources, an abuse of authority, a substantial and specific danger to public health or safety, or a threat by another member of the armed forces or employee of the Federal Government that indicates a determination or intent to kill or cause serious bodily injury to members of the armed forces or civilians or damage to military, Federal, or civilian property, when the communication is made to: a member of Congress; an IG; a member of a DoD audit, inspection, or law enforcement organization; any person or organization in the chain of command; a court-martial proceeding; or any other person designated pursuant to regulations or other established administrative procedures to receive such communications.



I don't care about reprisal, if we can't have an open honest conversation about these issues than we are not being "What our Nation needs us to be

While my time here I've been severely mistreated and harassed by my not only does she harass me she also ostracizes me.

I am very comfortable with expressing my opinion without fear of reprisal

So what should you do when you see comments like these?

- For every harassment and retaliation allegation received, immediately and <u>individually</u> counsel <u>all</u>members involved. Remind them about your—and your agency's—policy regarding retaliation, and explain the consequences for retaliating.
- **Document all counseling; have all members sign** memorandums stating they are aware of retaliation and the consequences of engaging in it.
- Do what you can as a leader; if you become aware of retaliation, inform the appropriate individualsaccording to your organization's requirements and, when appropriate, hold offenders accountable for their actions.
- If retaliation is reported and an investigation is initiated, support the investigators; help ensure all members are available when requested.
- Remain neutral! Although this may sound easy, some leaders and members can have a tendency to believe the person who first raises the concern.
- When authorized, establish written no-contact orders or support civilian restraining orders.

Here are a few additional strategies to consider:

- When individually counseling members, stagger the schedule to avoid unnecessary contact among other involved members.
- Consider separating members to reduce exposure to each other.
 - Modify work schedules.
 - Assign individuals—temporarily or permanently, as needed—to different tasks.
 - DO NOT automatically reassign the member who filed the retaliation allegation. Consider all options first; you could be unwittingly re-victimizing the complainant and creating a new and undue hardship. This can certainly be the case if the complainant has a good workplace support group.

Strategies to Identify Harassment

First and foremost, you need to know the members who workfor you. Typically, someone in charge will not be harassed; the people who are harassed are the ones who can be harassed, so remain aware of power disparity among members in your organization. Also, pay attention to how new employees, or members who appearto be different, are being treated.

Direct

Atrusted supervisor might be directly informed that a subordinatewas subjected toharassing behaviors. Trust does not automatically exist when a supervisor assumes that position, or when a new employee arrives. Instead, individuals learn to trust supervisors who consistently displayhonesty and integrity and proactively strive to support their needs. Subordinates of such supervisors will have the confidence and trust to report being harassed.

Indirect

There are numerous methods of being indirectly notified of inappropriate behavior. Some include: anonymous surveys, comment boxes, medical personnel, military chaplains or spiritual clergy leaders, DoD Inspector General (IG)—including the DoD IG hotline (<u>www.DoDIG.mil</u>), or the organization's Equal Opportunity (EO) professional.

Observations

Carefully watching your subordinates' behaviors and knowingthem well enough may help you recognize potential warning signs of workplace harassment. Changes in behaviors may involve individuals actively avoiding certain individuals, showingup late, increased absenteeism, or increased medical appointments. Another way of being indirectly informed is to read the "writing on the wall." Consider what you see around you, literally. Look forgraffiti on walls, or in restroomstalls. In addition, you may encounter writings in performance reports that can suggest harassment is occurring. For example, when the language in one member's performance report appearsstarkly different in form and tone from all other subordinates', it might suggest that member is being harassed. For more information about conducting observations, go to DEOMI's website (www.defenseculture.mil).

Surveys

Conducting anonymous surveys allows you to ask direct questions of the workforce, while protecting the identity of individuals.Still, it is important to remember that an anonymous survey should not be used as the sole reason for leveling concrete accusations against anyone. Survey results need to be validated usinginquiries, investigations, or other fact-findingendeavors. For more information about surveys, go to DEOMI's website (www.defenseculture.mil).

Summary

Harassment in any organization should never be accepted, ignored, or permitted. If harassment or retaliation of any type is suspected, leadership should thoroughly investigate. If harassment is identified, it should be immediately addressed, and all offenders must be held appropriately accountable for their behavior.

As a leader it is important to know that you are never alone in your decision making process. Every leader has a supervisor, a peer, or someone they can talk to who may have experienced a similar situation themselves. If you have any doubts about the proper actions to take, contact your agency's legal department, or your human resources department.

Trust me and let me lead. Understand that my way of doing things may not be the same as you, but it doesn't mean it is wrong. Let me learn policy, enforce it and run a program. If I fail (or come close to failing), monitor my work, counsel me, train me, micro-manage me and guide me to better. People learn best through failure and where they build from there. Hold people accountable if they do not meet deadlines/don't complete a job or task.

References

ⁱhttps://www.esd.whs.mil/Portals/54/Documents/DD/issuances/dodi/102003.pdf ⁱⁱhttps://www.jag.navy.mil/distrib/instructions/OPNAV5354.1FCH-1.EO.pdf ⁱⁱⁱhttps://www.deocs.net/public/A2S-4_1/main-eo-eeo-fair.cfm